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A VISUAL AND CONSTRUCTIVE WAY TO THE CONTRACTION MAPPING THEOREM

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Give the next series of exercises to senior undergraduate students, collect and evaluate the answers and interesting experiences will be obtained. An important feature of these exercises that forming a guess for the solution the student can use computer experiments in a natural way.

*Definition.* The mapping  $F: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  is called *similarity* if there exists  $r > 0$  such that

$$d[F(x), F(y)] = r[x, y] \quad x, y \in \mathbb{R}^2.$$

A similarity map is also a contraction if  $r < 1$  (fig.1).

1. Give examples for similarity maps on the plane  $\mathbb{R}^2$ .
2. Could you find for any similarity  $F: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  a closed disc  $K$

with

$$(*) \quad F(K) \subset K$$

where  $F(K) := \{F(x); x \in K\}$  ?

Show, that if you find such a disc with center  $\theta$  and

radius  $R$ , then (\*) is also satisfied for every disc  $K$  with the same center  $\theta$  and radius greater than  $R$ .

3. Let

$$F^{(1)}(K) = F(K) \quad \text{and} \quad F^{(n)}(K) = F \cdot F^{(n-1)}(K) \quad \text{for } n=2, 3, \dots$$

Prove, that if (\*) is satisfied, then also  $F^{(n+1)}(K) \subset F^{(n)}(K)$ .

Show that  $F(K)$  is also a closed disc. What is the radius of  $F^{(n)}(K)$ ?

Cantor axiom. If  $\{D_n; n=1, 2, \dots\}$  is a sequence of bounded closed subsets of  $\mathbb{R}^2$  with  $D_n \subset D_{n+1}$ , then  $\bigcap_{n=1}^{\infty} D_n$  is not empty if (\*) is satisfied.

4. Based on Ex.3 and the Cantor axiom,  $\bigcap_{n=1}^{\infty} F^{(n)}(K)$  is not empty.

Let

$$p \in \bigcap_{n=1}^{\infty} F^{(n)}(K);$$

what is the connection between  $\{F^{(n)}(K); n=1, 2, \dots\}$  and  $p$ ?

5. Give a fixed origin  $\theta$  on the plane  $\mathbb{R}^2$ . Then a rotation by  $\phi$  and scaling by  $r$  are well defined. Let  $F$  be the composition of a rotation, scaling and a shift by the vector  $b$ .

a/ Using drawing algorithm on a personal computer, visualize the sequence  $\{F^{(n)}(B); n=1, 2, \dots\}$  with different starting figures (bounded closed)  $B$ .

b/ Giving the matrix form of  $F, F^{(2)}, \dots$  compute  $\lim F^{(n)}(x)$  for different  $x \in \mathbb{R}^2$ .

Compare the results a/ and b/.

6. Let  $x \in \mathbb{R}^2$ ,  $D$  be a closed bounded subset of  $\mathbb{R}^2$  and  $p$  be as in Ex.4. and  $r < 1$ .

a/ What is the connection between  $\{F^{[n]}(x); n=1,2,\dots\}$  and  $p$ .

b/ What is the connection between  $\{F^{[n]}(D); n=1,2,\dots\}$  and  $p$ .

Definition. The mapping  $F: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  is called contraction if

$$d[F(x), F(y)] \leq r [x, y] \quad x, y \in \mathbb{R}^2$$

for  $0 < r < 1$ .

7. Prove the Contraction Mapping Theorem:

If  $F$  is a contraction, then  $\{F^{[n]}(x); n=1,2,\dots\}$  is convergent for every  $x \in \mathbb{R}^2$ . Moreover, the limit is the same for every  $x \in \mathbb{R}^2$ .

#### SOLUTIONS

2. Fig.2 shows the simplest solution: (\*) is satisfied for  $K$  iff for the value  $R$  of  $F$

$$(R) \quad d[\theta, F(\theta)] + rR < R$$

where  $\theta$  is the center of  $K$ .

If (R) does not come in by traditional geometry quickly, then it is useful to use experiments by computer graphics for developing a guess like (R). In this later case a document of these experiments is a part of the solution.

3. If  $B \subset C$  then  $F(B) \subset F(C)$  for any mapping  $F$  and hence

(\*)

imply

$$F^{[n+1]}(K) \subset F^{[n]}(K) \quad \text{for } n=1,2,\dots$$

$x \in K$  iff  $d[\theta, x] \leq R$ . Hence, it follows from the Definition that

$y \in F(K)$  iff  $d[F(\theta), y] \leq rR$ . It follows that the radius of  $F^{[n]}(K)$

is  $r^n R$ .

4. There is exactly one point  $p$  contained in every  $F^{[n]}(K)$ .  
 $p$  has the following property.

(P) Let  $K_{\epsilon,p}$  be the disc with center  $p$  and radius  $\epsilon$ . Then for every  $\epsilon > 0$  there is  $N$  such that

$$F^{[n]}(K) \subset K_{\epsilon,p}$$

if  $N > n$ .

Compare (P) with the next statement:

"The sequence  $\{F^{[n]}(x); n=1,2,\dots\}$  converges to  $p$  for every  $x \in \mathbb{R}^2$  "

5. This is the fundamental experiment of this material. This is a visual and numerical exploration of the Contraction Mapping Principle, however we use only similarity for  $F$ . The exploration may give also ideas for a constructive proof of the theorem.

6. Since for any  $x \in \mathbb{R}^2$  we have  $K$  with  $F(K) \subset K$  and  $x \in K$ ,  
 $F^{[n]}(x) \rightarrow p$  of Ex.4. for every  $x \in \mathbb{R}^2$ .

For every such  $D$  we have  $K$  with  $D \subset K$  and  $F(K) \subset K$ .

Hence (P) holds also for  $F^{[n]}(D)$ .

7. See the foregoing exercises and figures 2-4.

*Some educational experiences*

To show that  $F^{[n+1]}(K) \subset F^{[n]}(K)$  for  $n=1,2,\dots$  it was rather difficult most of the students since they did not recognized that  $B \subset C$  implies  $F(B) \subset F(C)$  for any mapping  $F$ . Hence, it was exploited in almost every solution that  $K$  is a disc and  $F$  is a similarity and hence  $F(K)$  is also disc.

The difference between (P) and the "ordinary" convergence of a point sequence was skipped over in almost every solution of Ex.4 resp. Ex.6

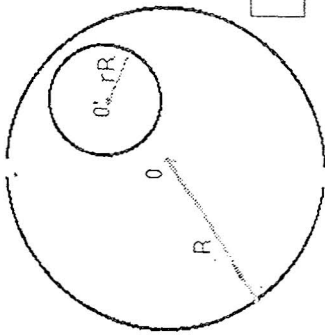


fig. 2

$$d [0,0] + rR$$

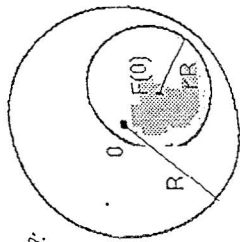
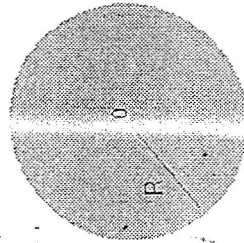


fig. 2+ fig. 3:



F is (only) contraction



fig. 3

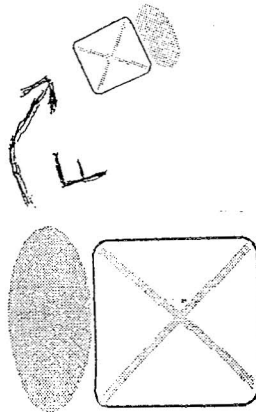


fig. 1

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