

# A sharp criterion for the univalence of the Libera operator

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**ABSTRACT.** Let  $f$  be an analytic function of the form  $f(z) = z + a_2z^2 + \dots$  defined in the unit disc  $U = \{z \in \mathbb{C} : |z| < 1\}$ . Suitable values of  $\beta$  have been determined by a number of authors, so that  $\operatorname{Re}(zf''(z) + \frac{z^2}{3}f'''(z)) > -\beta$ ,  $z \in U$  implies the starlikeness of  $f$ . In all these previous papers the method of differential-subordination has been used. We improve their results using the method of convolution and obtain the biggest possible value of  $\beta$  so that the differential inequality  $\operatorname{Re}(zf''(z) + \frac{z^2}{3}f'''(z)) > -\beta$ ,  $z \in U$  implies the univalence of the function  $f$ . The integral version of the result involving Libera operator is given.

## 1. INTRODUCTION

Let  $\mathcal{A}$  be the class of analytic functions defined in the unit disk

$$U = \{z \in \mathbb{C} : |z| < 1\}$$

which have the development  $f(z) = z + a_2z^2 + \dots$ . Let  $K$  be the subclass of  $\mathcal{A}$  which consists of the convex functions. It is well-known that  $f \in K$  is equivalent to

$$\operatorname{Re}\left(1 + \frac{zf''(z)}{f'(z)}\right) > 0, z \in U.$$

The integral operator of Libera will be denoted by

$$L(f)(z) = \frac{2}{z} \int_0^z f(t)dt.$$

The authors proved in [1] and in [2, p. 279] the following result:

[Corollary 5.2 d.1.] If  $f \in \mathcal{A}$  and

$$\operatorname{Re}(zf''(z)) > -\frac{3}{7}, \quad z \in U$$

then  $L(f) \in K$ .

This Corollary shows that the function  $L(f)$  is univalent in  $U$ , provided that  $\operatorname{Re}(zf''(z)) > -\frac{3}{7}$ ,  $z \in U$ . The problem to determine the biggest  $c > 0$ , for which the inequality  $\operatorname{Re}(zf''(z)) > -c$ ,  $z \in U$  implies the univalence of  $L(f)$  in  $U$ , comes up naturally.

We are going to answer this question below, but at first we recall some known results, which we need in our study.

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## 2. PRELIMINARIES

**Definition 2.1.** [3] Let  $f(z) = \sum_{n=0}^{\infty} a_n z^n$  and  $g(z) = \sum_{n=0}^{\infty} b_n z^n$  be two analytic functions in  $U$ . The convolution of the functions  $f$  and  $g$  is defined by the equality

$$(f * g)(z) = \sum_{n=0}^{\infty} a_n b_n z^n.$$

Let  $A_0$  be the the class of analytic functions in  $U$  which satisfy  $f(0) = 1$ .

**Definition 2.2.** [3] If  $V \subset A_0$  then the dual of  $V$  denoted by  $V^d$  consists of functions  $g$  which satisfy  $g \in A_0$  and  $(f * g)(z) \neq 0$  for every  $f \in V$  and every  $z \in U$ .

The class  $\mathcal{P}$  is the subset of  $A_0$  defined by

$$\mathcal{P} = \{f \in A_0 : \operatorname{Re}(f(z)) > 0, z \in U\}.$$

**Lemma 2.1.** [3], p. 23 (Duality theorem) The dual of the class  $\mathcal{P}$  is

$$\mathcal{P}^d = \{f \in A_0 | \operatorname{Re}(f(z)) > \frac{1}{2}, z \in U\}.$$

Let  $h_T$  be the function defined by the equality

$$h_T(z) = \frac{1}{1+iT} \left[ iT \frac{z}{1-z} + \frac{z}{(1-z)^2} \right], \quad T \in \mathbb{R}.$$

It is simple to observe that  $h_T$  is an element of the class  $\mathcal{A}$ .

**Lemma 2.2.** [3], p. 94 The function  $f \in \mathcal{A}$  belongs to  $S^*$ , the class of the starlike functions if and only if  $\frac{f(z)}{z} * \frac{h_T(z)}{z} \neq 0$  for all  $T \in \mathbb{R}$  and for all  $z \in U$ .

**Lemma 2.3.** [3] (The Herglotz formula) For all  $f \in \mathcal{P}$  there exists a probability measure  $\mu$  on the interval  $[0, 2\pi]$ , so that

$$f(z) = \int_0^{2\pi} \frac{1 + ze^{-it}}{1 - ze^{-it}} d\mu(t)$$

or in developed form

$$f(z) = 1 + 2 \int_0^{2\pi} \left( \sum_{n=1}^{\infty} z^n e^{-in} \right) d\mu(t).$$

The converse of the theorem is also valid.

## 3. THE MAIN RESULT

**Theorem 3.1.** The biggest value of  $c$  for which the condition

$$\operatorname{Re} \left( z f''(z) + \frac{z^2}{3} f'''(z) \right) > -c, \quad z \in U \quad (3.1)$$

implies the starlikeness of the function  $f$  is  $c = \frac{2}{3}$ .

*Proof.* The condition (3.1) implies that

$$\frac{c + zf''(z) + \frac{z^2}{3}f'''(z)}{c} \in \mathcal{P}$$

and from the Herglotz representation theorem we get that there is a measure  $\mu$  so that

$$f(z) = z + 6c \sum_{n=2}^{\infty} \frac{z^n}{n(n-1)(n+1)} \int_0^{2\pi} e^{-i(n-1)t} d\mu(t), \quad \mu([0, 2\pi]) = 1.$$

Lemma 2.2 implies that  $f \in S^*$  if and only if

$$\frac{f(z)}{z} * \frac{h_T(z)}{z} \neq 0, z \in U, T \in \mathbb{R} \quad \text{where} \quad h_T(z) = z + \sum_{n=1}^{\infty} \frac{n+1+iT}{1+iT} z^{n+1}.$$

The condition of starlikeness becomes after some calculations

$$\begin{aligned} \frac{f(z)}{z} * \frac{h_T(z)}{z} &= \left( 1 + 6c \sum_{n=2}^{\infty} \frac{z^{n-1}}{n(n-1)(n+1)} \int_0^{2\pi} e^{-i(n-1)t} d\mu(t) \right) * \\ &\quad * \left( 1 + \sum_{n=1}^{\infty} \frac{n+1+iT}{1+iT} z^n \right) = \left( 1 + 2 \sum_{n=1}^{\infty} z^n \int_0^{2\pi} e^{-int} d\mu(t) \right) * \\ &\quad * \left( 1 + 3c \sum_{n=1}^{\infty} \frac{n+1+iT}{(1+iT)(n+1)(n+2)n} z^n \right) \neq 0, z \in U, T \in \mathbb{R}. \end{aligned}$$

The Lemma 2.1 implies that the condition for starlikeness holds, if and only if

$$\operatorname{Re} \left( 1 + 3c \sum_{n=1}^{\infty} \frac{n+1+iT}{(1+iT)(n+1)(n+2)n} z^n \right) > \frac{1}{2}, z \in U, T \in \mathbb{R}.$$

or equivalently

$$\operatorname{Re} \left( \frac{1}{6c} + \sum_{n=1}^{\infty} \frac{n+1+iT}{(1+iT)(n+1)(n+2)n} z^n \right) > 0, z \in U, T \in \mathbb{R}. \quad (3.2)$$

We are going to determine

$$m = \inf_{\substack{z \in U \\ T \in \mathbb{R}}} \operatorname{Re} \left( \frac{1}{6c} + \sum_{n=1}^{\infty} \frac{n+1+iT}{(1+iT)(n+1)(n+2)n} z^n \right)$$

and from  $m = 0$  we will get the value of  $c$ . The maximum principle implies that

$$m = \inf_{\substack{\theta \in (0, 2\pi) \\ T \in \mathbb{R}}} \operatorname{Re} \left( \frac{1}{6c} + \sum_{n=1}^{\infty} \frac{n+1+iT}{(1+iT)(n+1)(n+2)n} e^{in\theta} \right).$$

It is simple to observe that

$$\begin{aligned} \operatorname{Re} \left( \sum_{n=1}^{\infty} \frac{n+1+iT}{(1+iT)(n+1)(n+2)n} e^{in\theta} \right) &= \frac{1}{1+T^2} \left( T^2 \sum_{n=1}^{\infty} \frac{\cos n\theta}{n(n+1)(n+2)} + \right. \\ &\quad \left. + T \sum_{n=1}^{\infty} \frac{\sin n\theta}{(n+1)(n+2)} + \sum_{n=1}^{\infty} \frac{\cos n\theta}{n(n+2)} \right). \end{aligned}$$

We will use the following equalities:

$$\begin{aligned}
\sum_{n=1}^{\infty} \frac{e^{in\theta}}{n(n+2)} &= \frac{1}{2} \int_0^1 (1-t^2) \frac{e^{i\theta} - t}{1+t^2-2t\cos\theta} dt \\
\sum_{n=1}^{\infty} \frac{e^{in\theta}}{(n+1)(n+2)} &= \int_0^1 (t-t^2) \frac{e^{i\theta} - t}{1+t^2-2t\cos\theta} dt \\
\sum_{n=1}^{\infty} \frac{e^{in\theta}}{n(n+1)(n+2)} &= \frac{1}{2} \int_0^1 (1-t)^2 \frac{e^{i\theta} - t}{1+t^2-2t\cos\theta} dt.
\end{aligned} \tag{3.3}$$

Let  $M(\theta, T)$  be defined by the equality

$$M(\theta, T) = \frac{1}{1+T^2} \left( T^2 \sum_{n=1}^{\infty} \frac{\cos n\theta}{n(n+1)(n+2)} + T \sum_{n=1}^{\infty} \frac{\sin n\theta}{(n+1)(n+2)} + \sum_{n=1}^{\infty} \frac{\cos n\theta}{n(n+2)} \right).$$

It results from (3.3) that

$$\begin{aligned}
M(\theta, T) &= \frac{1}{1+T^2} \left( T^2 \frac{1}{2} \int_0^1 (1-t)^2 \frac{\cos\theta - t}{1+t^2-2t\cos\theta} dt + \right. \\
&\quad \left. + T \int_0^1 (t-t^2) \frac{\sin\theta}{1+t^2-2t\cos\theta} dt + \frac{1}{2} \int_0^1 (1-t^2) \frac{\cos\theta - t}{1+t^2-2t\cos\theta} dt \right)
\end{aligned}$$

and this leads to

$$\begin{aligned}
M(\theta, T) &= -\frac{1}{2} \int_0^1 (1-t) dt + \frac{1}{1+T^2} \left[ T^2 \left( \frac{1}{2} \int_0^1 (1-t)^2 \frac{\cos\theta - t}{1+t^2-2t\cos\theta} dt + \right. \right. \\
&\quad \left. \left. + \int_0^1 \frac{(1-t)^2}{1+t} dt \right) + \frac{T^2}{2} \left( \int_0^1 (1-t) dt - \int_0^1 \frac{(1-t)^2}{1+t} dt \right) + \right. \\
&\quad \left. + T \int_0^1 (t-t^2) \frac{\sin\theta}{1+t^2-2t\cos\theta} dt + \right. \\
&\quad \left. + \frac{1}{2} \left( \int_0^1 (1-t^2) \frac{\cos\theta - t}{1+t^2-2t\cos\theta} dt + \int_0^1 (1-t) dt \right) \right].
\end{aligned}$$

We can rewrite  $M(\theta, T)$  as follows:

$$\begin{aligned}
M(\theta, T) &= -\frac{1}{4} + \frac{1}{1+T^2} \left( \frac{T^2(1+\cos\theta)}{2} \int_0^1 \frac{(1-t)^3}{(1+t)(1+t^2-2t\cos\theta)} dt + \right. \\
&\quad \left. + T^2 \left( \frac{3}{2} - 2\ln 2 \right) + T \sin\theta \int_0^1 \frac{t(1-t)}{1+t^2-2t\cos\theta} dt + \right. \\
&\quad \left. + \frac{1}{2}(1+\cos\theta) \int_0^1 \frac{(1-t)^2}{1+t^2-2t\cos\theta} dt \right).
\end{aligned} \tag{3.4}$$

Let  $L_1$  and  $L_2$  be

$$L_1(\theta, T) = \frac{T^2(1+\cos\theta)}{2} \int_0^1 \frac{(1-t)^3}{(1+t)(1+t^2-2t\cos\theta)} dt \tag{3.5}$$

and

$$\begin{aligned} L_2(\theta, T) &= T^2\left(\frac{3}{2} - 2\ln 2\right) + T \sin \theta \int_0^1 \frac{t(1-t)}{1+t^2-2t\cos\theta} dt + \\ &\quad + \frac{1}{2}(1+\cos\theta) \int_0^1 \frac{(1-t)^2}{1+t^2-2t\cos\theta} dt. \end{aligned} \quad (3.6)$$

From (3.4), (3.5) and (3.6) we deduce

$$M(\theta, T) = -\frac{1}{4} + \frac{1}{1+T^2}(L_1(\theta, T) + L_2(\theta, T)). \quad (3.7)$$

It is simple to observe that

$$L_1(\pi, 0) = 0, \quad L_2(\pi, 0) = 0, \quad L_1(\theta, T) \geq 0 \quad \text{for } \theta \in (0, 2\pi), \quad T \in \mathbb{R} \quad (3.8)$$

and we will also prove that  $L_2(\theta, T) \geq 0, \theta \in (0, 2\pi), T \in \mathbb{R}$ .  $L_2$  is a polynomial with respect to  $T$  and has the discriminant  $\Delta_2(\theta)$  :

$$\Delta_2(\theta) = 4 \cos^2 \frac{\theta}{2} \left( \left( \int_0^1 \frac{t(1-t) \sin \frac{\theta}{2}}{1+t^2-2t\cos\theta} dt \right)^2 - \left( \frac{3}{2} - 2\ln 2 \right) \int_0^1 \frac{(1-t)^2}{1+t^2-2t\cos\theta} dt \right).$$

Since  $\int_0^1 \frac{t^2}{(1+t)^2} dt = \frac{3}{2} - 2\ln 2$  we get that

$$\Delta_2(\theta) = 4 \cos^2 \frac{\theta}{2} \left( \left( \int_0^1 \frac{t(1-t) \sin \frac{\theta}{2}}{1+t^2-2t\cos\theta} dt \right)^2 - \int_0^1 \frac{t^2}{(1+t)^2} dt \int_0^1 \frac{(1-t)^2}{1+t^2-2t\cos\theta} dt \right).$$

The inequality of Cauchy-Buniakowski-Schwarz implies:

$$\int_0^1 \frac{t^2}{(1+t)^2} dt \int_0^1 \frac{(1-t)^2}{1+t^2-2t\cos\theta} dt \geq \left( \int_0^1 \frac{t(1-t)}{(1+t)\sqrt{1+t^2-2t\cos\theta}} dt \right)^2. \quad (3.9)$$

The inequality

$$\int_0^1 \frac{t(1-t)}{(1+t)\sqrt{1+t^2-2t\cos\theta}} dt \geq \int_0^1 \frac{t(1-t)|\sin \frac{\theta}{2}|}{1+t^2-2t\cos\theta} dt \quad (3.10)$$

is valid if

$$\frac{t(1-t)}{(1+t)\sqrt{1+t^2-2t\cos\theta}} \geq \frac{t(1-t)|\sin \frac{\theta}{2}|}{1+t^2-2t\cos\theta}, \quad \theta \in (0, 2\pi), t \in [0, 1]. \quad (3.11)$$

The inequality (3.11) holds, because it is equivalent to

$$(1-t)^2(1+\cos\theta) \geq 0, \quad \theta \in (0, 2\pi), t \in [0, 1].$$

Now combining (3.9), (3.10) follows  $\Delta_2(\theta) \leq 0, \theta \in (0, 2\pi)$ , and this leads to

$$L_2(\theta, T) \geq 0, \quad \theta \in (0, 2\pi), T \in \mathbb{R}. \quad (3.12)$$

From (3.7), (3.8) and (3.12) we deduce

$$\begin{aligned} m &= \inf_{\substack{\theta \in (0, 2\pi) \\ T \in \mathbb{R}}} \operatorname{Re} \left( \frac{1}{6c} + \sum_{n=1}^{\infty} \frac{n+1+iT}{(1+iT)(n+1)(n+2)n} e^{in\theta} \right) = \frac{1}{6c} + \inf_{\substack{\theta \in (0, 2\pi) \\ T \in \mathbb{R}}} M(\theta, T) = \\ &= \frac{1}{6c} - \frac{1}{4} + \inf_{\substack{\theta \in (0, 2\pi) \\ T \in \mathbb{R}}} \frac{1}{1+T^2} (L_1(\theta, T) + L_2(\theta, T)) = \frac{1}{6c} - \frac{1}{4}. \end{aligned}$$

We get the biggest value of  $c$  from the equation  $m = 0$ . The obtained result is sharp.  $\square$

**Corollary 3.1.** *Let*

$$F(z) = L(f)(z) = \frac{2}{z} \int_0^z f(t) dt. \quad (3.13)$$

*The biggest value of  $c$ , for which the condition  $\operatorname{Re}(zf''(z)) > -c$ ,  $z \in U$  implies  $F \in S^*$ , is  $c = 1$ .*

*Proof.* From the definition of  $F$  we get  $zF''(z) + \frac{z^2}{3}F'''(z) = \frac{2}{3}zf''(z)$  and so the condition  $\operatorname{Re}(zf''(z)) > -c$ ,  $z \in U$  implies

$$\operatorname{Re}(zF''(z) + \frac{z^2}{3}F'''(z)) > -\frac{2}{3}, \quad z \in U.$$

Consequently we can apply Theorem 3.1. to obtain  $F \in S^*$ .  $\square$

**Theorem 3.2.** *If  $0 < c \leq 1$  then the condition*

$$\operatorname{Re}(zf''(z)) > -c, \quad z \in U \quad (3.14)$$

*implies the univalence of the function  $F$  defined by (3.13). For  $c > 1$  there exists  $f$  satisfying (3.14) so that the corresponding function  $F$  is not univalent in  $U$ .*

*Proof.* According to the previous corollary the function  $F$  is starlike and also univalent in  $U$ . As we saw in the proof of Theorem 3.1, the condition of the theorem implies that

$$F(z) = z + 4c \sum_{n=2}^{\infty} \frac{z^n}{n(n-1)(n+1)} \int_0^{2\pi} e^{-i(n-1)t} d\mu(t), \quad z \in U, \quad \mu([0, 2\pi]) = 1.$$

It is well-known, that if the function  $F$  is univalent, then  $F'(z) \neq 0, \forall z \in U$ . A simple calculation leads to

$$F'(z) = \left(1 + 2 \sum_{n=1}^{\infty} z^n \int_0^{2\pi} e^{-int} d\mu(t)\right) * \left(1 + 2c \sum_{n=1}^{\infty} \frac{z^n}{n(n+2)}\right), \quad z \in U.$$

Since  $1 + 2 \sum_{n=1}^{\infty} z^n \int_0^{2\pi} e^{-int} d\mu(t) \in \mathcal{P}$ , Definition 2.2 says that the condition  $F'(z) \neq 0$ ,  $z \in U$  holds true if and only if

$$1 + 2c \sum_{n=1}^{\infty} \frac{z^n}{n(n+2)} \in \mathcal{P}^d.$$

According to Lemma 2.1 this is equivalent to

$$\operatorname{Re}\left(1 + 2c \sum_{n=1}^{\infty} \frac{z^n}{n(n+2)}\right) > \frac{1}{2}, \quad z \in U. \quad (3.15)$$

We observe that

$$(1 - t^2) \frac{\cos \theta - t}{1 + t^2 - 2t \cos \theta} \geq -\frac{1 - t^2}{1 + t}, \quad \theta \in [0, 2\pi], \quad t \in [0, 1]$$

and equality holds if and only if  $\theta = \pi$ . This observation implies

$$\begin{aligned} \inf_{z \in U} \operatorname{Re} \left( 1 + 2c \sum_{n=1}^{\infty} \frac{z^n}{n(n+2)} \right) &= \inf_{\theta \in (0, 2\pi)} \operatorname{Re} \left( 1 + 2c \sum_{n=1}^{\infty} \frac{e^{in\theta}}{n(n+2)} \right) = \\ &= \inf_{\theta \in (0, 2\pi)} \operatorname{Re} \left( 1 + c \int_0^1 (1-t^2) \frac{\cos \theta - t}{1+t^2-2t \cos \theta} dt \right) = 1 - c \int_0^1 \frac{1-t^2}{1+t} dt = 1 - \frac{c}{2}. \end{aligned}$$

The deduced equality means that (3.15) holds true if and only if

$$1 - \frac{c}{2} \geq \frac{1}{2}, \quad \text{which is equivalent to } 1 \geq c.$$

□

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