

# Coefficient bounds for certain subclasses of bi-univalent functions

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**ABSTRACT.** In this paper we discuss some newly constructed subclasses of bi-univalent functions and establish bounds for the coefficients of the functions in the subclasses  $S_{\Sigma}(\lambda, \alpha)$  and  $S_{\Sigma}(\lambda, \beta)$ .

## 1. INTRODUCTION

Let  $A$  denote the class of functions of the form

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n, \quad (1.1)$$

which are analytic in the open unit disk  $U = \{z : |z| < 1\}$ , and let  $S$  be the subclass of  $A$  consisting of the functions of the form (1.1) which are also univalent in  $U$ .

The Koebe one-quarter theorem [6] states that the image of  $U$  under every function  $f$  from  $S$  contains a disk of radius  $\frac{1}{4}$ . Thus every such univalent function has an inverse  $f^{-1}$  which satisfies

$$f^{-1}(f(z)) = z \quad (z \in U)$$

and

$$f(f^{-1}(w)) = w \quad \left( |w| < r_0(f), \quad r_0(f) \geq \frac{1}{4} \right),$$

where

$$f^{-1}(w) = w - a_2 w^2 + (2a_2^2 - a_3) w^3 - (5a_2^3 - 5a_2 a_3 + a_4) w^4 + \dots$$

A function  $f \in A$  is said to be bi-univalent in  $U$  if both  $f$  and  $f^{-1}$  are univalent in  $U$ . Let  $\Sigma$  denote the class of bi-univalent functions defined in the unit disk  $U$ .

For a brief history and interesting examples in the class  $\Sigma$ , see [14]. Examples of functions in the class  $\Sigma$  are

$$\frac{z}{1-z}, \quad -\log(1-z), \quad \frac{1}{2} \log \left( \frac{1+z}{1-z} \right), \dots$$

However, the familiar Koebe function is not a member of  $\Sigma$ . Other common examples of functions in  $S$  such as

$$z - \frac{z^2}{2} \quad \text{and} \quad \frac{z}{1-z^2}$$

are also not members of  $\Sigma$  (see [14]). Lewin [10] studied the class of bi-univalent functions, obtaining the bound 1.51 for modulus of the second coefficient  $|a_2|$ . Subsequently, Brannan and Clunie [3] conjectured that  $|a_2| \leq \sqrt{2}$  for  $f \in \Sigma$ . Later, Netanyahu [12] showed

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Received: 06.07.2015. In revised form: 08.10.2015. Accepted: 15.10.2015

2010 *Mathematics Subject Classification.* 30C45, 30C50.

Key words and phrases. *Analytic functions, Bi-starlike functions, coefficient bounds.*

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that  $\max |a_2| = \frac{4}{3}$  if  $f(z) \in \Sigma$ . Brannan and Taha [4] introduced certain subclasses of the bi-univalent function class  $\Sigma$  similar to the familiar subclasses  $S^*(\beta)$  and  $K(\beta)$  of starlike and convex functions of order  $\beta$  ( $0 \leq \beta < 1$ ), respectively (see [12]). Thus, following Brannan and Taha [4], a function  $f(z) \in A$  is said to be in the class  $S_\Sigma^*(\alpha)$  of strongly bi-starlike functions of order  $\alpha$  ( $0 < \alpha \leq 1$ ) if each of the following two conditions:

$$f \in \Sigma, \quad \left| \arg \left( \frac{zf'(z)}{f(z)} \right) \right| < \frac{\alpha\pi}{2} \quad (0 < \alpha \leq 1, z \in U)$$

and

$$\left| \arg \left( \frac{wg'(w)}{g(w)} \right) \right| < \frac{\alpha\pi}{2} \quad (0 < \alpha \leq 1, w \in U)$$

is satisfied. It is said to be in the class  $K_\Sigma(\alpha)$  of strongly bi-convex functions of order  $\alpha$  ( $0 < \alpha < 1$ ) if each of the following two conditions:

$$f \in \Sigma, \quad \left| \arg \left( 1 + \frac{zf'(z)}{f(z)} \right) \right| < \frac{\alpha\pi}{2} \quad (0 < \alpha \leq 1, z \in U)$$

and

$$\left| \arg \left( 1 + \frac{wg'(w)}{g(w)} \right) \right| < \frac{\alpha\pi}{2} \quad (0 < \alpha \leq 1, w \in U)$$

is satisfied, where  $g$  is the extension of  $f^{-1}$  to  $U$ .

The classes  $S_\Sigma^*(\alpha)$  and  $K_\Sigma(\alpha)$  of bi-starlike functions of order  $\alpha$  and bi-convex functions of order  $\alpha$ , corresponding to the function classes  $S^*(\alpha)$  and  $K(\alpha)$ , were also introduced analogously. For each of the function classes  $S_\Sigma^*(\alpha)$  and  $K_\Sigma(\alpha)$ , they found non-sharp estimates on the initial coefficients. In fact, the aforecited work of Srivastava et al. [14] essentially revived the investigation of various subclasses of the bi-univalent function class  $\Sigma$  in recent years. Recently, many authors investigated bounds for various subclasses of bi-univalent functions ([1], [2], [7], [11], [14], [15], [16]). Not much is known about the bounds on the general coefficient  $|a_n|$  for  $n \geq 4$ . In the literature, there exist only a few works determining the general coefficient bounds  $|a_n|$  for the analytic bi-univalent functions [5], [8], [9]). The coefficient estimate problem for each of  $|a_n|$  ( $n \in \mathbb{N} \setminus \{1, 2\}$ ;  $\mathbb{N} = \{1, 2, 3, \dots\}$ ) is still an open problem.

The aim of the this paper is to introduce two new subclasses of the function class  $\Sigma$  and find estimates on the coefficients  $|a_2|$  and  $|a_3|$  for functions in these new subclasses of the functions.

We remind the following lemma which will be useful to derive our basic results.

**Lemma 1.1.** [13] *If  $p(z) = 1 + p_1z + p_2z^2 + p_3z^3 + \dots$  is an analytic function in  $U$  with positive real part, then*

$$|p_n| \leq 2 \quad (n \in \mathbb{N} = \{1, 2, \dots\})$$

and

$$\left| p_2 - \frac{p_1^2}{2} \right| \leq 2 - \frac{|p_1|^2}{2}. \quad (1.2)$$

2. COEFFICIENT BOUNDS FOR THE FUNCTION CLASS  $S_\Sigma(\lambda, \alpha)$ 

**Definition 2.1.** A function  $f \in \Sigma$  is said to be in the class  $S_\Sigma(\lambda, \alpha)$  if the following two conditions

$$f \in \Sigma, \left| \arg \frac{1}{2} \left( \frac{zf'(z)}{f(z)} + \left( \frac{zf'(z)}{f(z)} \right)^{\frac{1}{\lambda}} \right) \right| < \frac{\alpha\pi}{2} \quad (0 < \alpha \leq 1, 0 < \lambda \leq 1, z \in U) \quad (2.3)$$

and

$$\left| \arg \frac{1}{2} \left( \frac{wg'(w)}{g(w)} + \left( \frac{wg'(w)}{g(w)} \right)^{\frac{1}{\lambda}} \right) \right| < \frac{\alpha\pi}{2} \quad (0 < \alpha \leq 1, 0 < \lambda \leq 1, w \in U) \quad (2.4)$$

are satisfied, where  $g = f^{-1}$ .

**Theorem 2.1.** Let  $f$  given by (1.1) be in the class  $S_\Sigma(\lambda, \alpha)$ ,  $0 < \alpha \leq 1$ . Then

$$|a_2| \leq \frac{4\alpha\lambda}{\sqrt{\alpha(3\lambda^2 + 1) + (\lambda + 1)^2}}$$

and

$$|a_3| \leq \frac{2\alpha\lambda}{\lambda + 1} + \frac{16\alpha^2\lambda^2}{(\lambda + 1)^2}.$$

*Proof.* Let  $f \in S_\Sigma(\lambda, \alpha)$ . Then

$$\frac{1}{2} \left( \frac{zf'(z)}{f(z)} + \left( \frac{zf'(z)}{f(z)} \right)^{\frac{1}{\lambda}} \right) = [p(z)]^\alpha \quad (2.5)$$

$$\frac{1}{2} \left( \frac{wg'(w)}{g(w)} + \left( \frac{wg'(w)}{g(w)} \right)^{\frac{1}{\lambda}} \right) = [q(w)]^\alpha \quad (2.6)$$

where  $g = f^{-1}$ ,  $p, q \in P$  (i.e., are polynomials) and have the forms

$$p(z) = 1 + p_1z + p_2z^2 + \dots$$

and

$$q(w) = 1 + q_1w + q_2w^2 + \dots$$

Now, equating the coefficients in (2.5) and (2.6), we get

$$\frac{\lambda + 1}{2\lambda} a_2 = \alpha p_1, \quad (2.7)$$

$$\frac{\lambda + 1}{2\lambda} (2a_3 - a_2^2) + \frac{1 - \lambda}{4\lambda^2} a_2^2 = \alpha p_2 + \frac{\alpha(\alpha - 1)}{2} p_1^2, \quad (2.8)$$

and

$$-\frac{\lambda + 1}{2\lambda} a_2 = \alpha q_1, \quad (2.9)$$

$$\frac{\lambda + 1}{2\lambda} (3a_2^2 - 2a_3) + \frac{1 - \lambda}{4\lambda^2} a_2^2 = \alpha q_2 + \frac{\alpha(\alpha - 1)}{2} q_1^2. \quad (2.10)$$

From (2.7) and (2.9) we obtain

$$p_1 = -q_1. \quad (2.11)$$

and

$$\frac{(\lambda + 1)^2}{2\lambda^2} a_2^2 = \alpha^2 (p_1^2 + q_1^2). \quad (2.12)$$

Also by (2.8), (2.10) and (2.12) we have

$$\begin{aligned} \frac{2\lambda^2 + \lambda + 1}{2\lambda^2} a_2^2 &= \alpha(p_2 + q_2) + \frac{\alpha(\alpha-1)}{2}(p_1^2 + q_1^2). \\ &= \alpha(p_2 + q_2) + \frac{\alpha(\alpha-1)}{2} \frac{(\lambda+1)^2}{2\lambda^2 \alpha^2} a_2^2, \end{aligned}$$

and therefore, we get

$$a_2^2 = \frac{4\alpha^2 \lambda^2 (p_2 + q_2)}{2\alpha(2\lambda^2 + \lambda + 1) - (\alpha - 1)(\lambda + 1)^2}. \quad (2.13)$$

Applying Lemma 1.1 for the coefficients  $p_2$  and  $q_2$ , we obtain

$$|a_2| \leq \frac{4\alpha\lambda}{\sqrt{\alpha(3\lambda^2 + 1) + (\lambda + 1)^2}}.$$

Next, in order to find the bound on  $|a_3|$ , by subtracting (2.10) from (2.8), we obtain

$$\frac{2(\lambda + 1)}{\lambda} (a_3 - a_2^2) = \alpha(p_2 - q_2) + \frac{\alpha(\alpha - 1)}{2}(p_1^2 - q_1^2).$$

Then, in view of (1.2) and (2.12), we have

$$|a_3| \leq \frac{2\alpha\lambda}{\lambda + 1} + \frac{16\alpha^2 \lambda^2}{(\lambda + 1)^2}.$$

□

### 3. COEFFICIENT BOUNDS FOR THE FUNCTIONS IN THE CLASS $S_\Sigma(\lambda, \beta)$

**Definition 3.2.** A function  $f \in \Sigma$  is said to be in the class  $S_\Sigma(\lambda, \beta)$  if the following conditions

$$f \in \Sigma, \quad \operatorname{Re} \left( \frac{1}{2} \left( \frac{zf'(z)}{f(z)} + \left( \frac{zf'(z)}{f(z)} \right)^{\frac{1}{\lambda}} \right) \right) > \beta \quad (0 \leq \beta < 1, 0 < \lambda \leq 1, z \in U) \quad (3.14)$$

and

$$\operatorname{Re} \left( \frac{1}{2} \left( \frac{wg'(w)}{g(w)} + \left( \frac{wg'(w)}{g(w)} \right)^{\frac{1}{\lambda}} \right) \right) > \beta \quad (0 \leq \beta < 1, 0 < \lambda \leq 1, w \in U) \quad (3.15)$$

are satisfied, where we denoted  $g = f^{-1}$ .

**Theorem 3.2.** Let  $f$  given by (1.1) be in the class  $S_\Sigma(\lambda, \beta)$ ,  $0 \leq \beta < 1$ . Then

$$|a_2| \leq \sqrt{\frac{8\lambda^2(1-\beta)}{2\lambda^2 + \lambda + 1}}$$

and

$$|a_3| \leq \frac{2\lambda(1-\beta)}{\lambda + 1} + \frac{16\lambda^2}{(\lambda + 1)^2}.$$

*Proof.* Let  $f \in S_\Sigma(\lambda, \beta)$ . Then

$$\frac{1}{2} \left( \frac{zf'(z)}{f(z)} + \left( \frac{zf'(z)}{f(z)} \right)^{\frac{1}{\lambda}} \right) = \beta + (1-\beta)p(z) \quad (3.16)$$

$$\frac{1}{2} \left( \frac{wg'(w)}{g(w)} + \left( \frac{wg'(w)}{g(w)} \right)^{\frac{1}{\lambda}} \right) = \beta + (1-\beta)q(w) \quad (3.17)$$

where  $p, q \in P$  and  $g = f^{-1}$ .

It follows from (3.16) and (3.17) that

$$\frac{\lambda+1}{2\lambda}a_2 = (1-\beta)p_1, \quad (3.18)$$

$$\frac{\lambda+1}{2\lambda}(2a_3 - a_2^2) + \frac{1-\lambda}{4\lambda^2}a_2^2 = (1-\beta)p_2, \quad (3.19)$$

and

$$-\frac{\lambda+1}{2\lambda}a_2 = (1-\beta)q_1, \quad (3.20)$$

$$\frac{\lambda+1}{2\lambda}(3a_2^2 - 2a_3) + \frac{1-\lambda}{4\lambda^2}a_2^2 = (1-\beta)q_2. \quad (3.21)$$

From (3.19) and (3.21) we obtain

$$p_1 = -q_1. \quad (3.22)$$

and

$$\frac{(\lambda+1)^2}{2\lambda^2}a_2^2 = (1-\beta)^2(p_1^2 + q_1^2). \quad (3.23)$$

Also from (3.19), (3.21) and (3.22) we have

$$\frac{2\lambda^2 + \lambda + 1}{2\lambda^2}a_2^2 = (1-\beta)(p_2 + q_2).$$

Therefore, we have

$$a_2^2 = \frac{2(1-\beta)\lambda^2(p_2 + q_2)}{2\lambda^2 + \lambda + 1}. \quad (3.24)$$

Applying Lemma 1.1. for the coefficients  $p_2$  and  $q_2$ , we obtain

$$|a_2| \leq \sqrt{\frac{8\lambda^2(1-\beta)}{2\lambda^2 + \lambda + 1}}.$$

Next, in order to find the bound on  $|a_3|$ , by subtracting (3.21) from (3.19), we obtain

$$\frac{2(\lambda+1)}{\lambda}(a_3 - a_2^2) = (1-\beta)(p_2 - q_2).$$

Then, in view of (1.2) and (3.23), we have

$$|a_3| \leq \frac{2(1-\beta)\lambda}{\lambda+1} + \frac{16(1-\beta)^2\lambda^2}{(\lambda+1)^2}.$$

□

Taking  $\lambda = 1$  in Theorems 2.1 and 3.1, one can get the following corollaries.

**Corollary 3.1.** Let  $f$  given by (1.1) be in the class  $S_\Sigma(\alpha)$ ,  $0 < \alpha \leq 1$ . Then

$$|a_2| \leq \frac{2\alpha}{\sqrt{\alpha+1}}$$

and

$$|a_3| \leq \alpha + 4\alpha^2.$$

**Corollary 3.2.** Let  $f$  given by (1.1) be in the class  $S_\Sigma(\beta)$ ,  $0 \leq \beta < 1$ . Then

$$|a_2| \leq \sqrt{2(1-\beta)}$$

and

$$|a_3| \leq (1-\beta) + 4(1-\beta)^2.$$

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