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On first general Zagreb index of tournaments

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ABSTRACT. A tournament is an orientation of a complete simple graph. The score of a vertex in a tournament is the out degree of the vertex. The Zagreb index of a tournament is defined as the sum of the squares of the scores of its vertices. The *first general Zagreb index* of a tournament T is defined as $M_a(T) = \sum_{i=1}^n s_i^a$, where a is any real number other than 0 and 1. In this paper, we obtain various lower and upper bounds for the first general Zagreb index of a tournament.

1. INTRODUCTION

A tournament is an orientation of a complete simple graph. Let T be a tournament with order n and having vertex set $\{v_1, v_2, \dots, v_n\}$. The score of a vertex v_i , $1 \leq i \leq n$, denoted by s_{v_i} (or simply by s_i), is defined as the out degree of v_i . Clearly, $0 \leq s_i \leq n - 1$ for all i , $1 \leq i \leq n$. The sequence $[s_1, s_2, \dots, s_n]$ in non-increasing (or non-decreasing) order is called the score sequence of the tournament T . In a regular tournament on n (odd) vertices, each vertex has score $\frac{n-1}{2}$. Many of the important properties of tournaments were first investigated by Landau [3] (1953) in order to model dominance relations in flocks of chickens. Current applications of tournaments include the study of voting theory and social choice theory among other things. Other undefined notations and terminology can be seen in [9].

The following result [3], also called Landau's theorem, gives a necessary and sufficient conditions for a sequence of non-negative integers to be the score sequence of some tournament.

Theorem 1.1. (Landau [3]) *A sequence $[s_1, s_2, \dots, s_n]$ of non-negative integers in non-decreasing order is a score sequence of some tournament if and only if*

$$\sum_{i=1}^k s_i \geq \frac{k(k-1)}{2}, \text{ for } 1 \leq k \leq n \quad (1.1)$$

with equality when $k = n$.

Several results for the scores in a tournament can be seen in [5, 6].

For any two distinct vertices u and v of a tournament T , we have one of the following possibilities:

- (i) An arc directed from u to v , denoted by $u(1-0)v$.
- (ii) An arc directed from v to u , denoted by $u(0-1)v$.

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The *first general Zagreb index* [4] (also called the general zeroth-order Randić index) of a graph G , denoted by $Z_a(G)$, is defined as $Z_a(G) = \sum_{i=1}^n d_i^a$, where a is any real number other than 0 and 1. Also, for $a = 2$, we have $Z_2(G) = \sum_{i=1}^n d_i^2 = M_1(G)$, which is known as the *first Zagreb index*[2] of G . The first Zagreb index was used in examining the dependence of total π -electron energy of molecular structures. Analogous to this, Naikoo et al. [7] defined the first Zagreb index $M(T)$ of a tournament T as the sum of the squares of the scores of the vertices of T . That is, $M(T) = \sum_{i=1}^n s_i^2$. Motivated by this, the *first general Zagreb index* of a tournament T is defined as $M_a(T) = \sum_{i=1}^n s_i^a$. For $a = 0$, we have $M_0(T) = n$; and for $a = 1$ together with Inequality (1.1), $M_1(T) = \sum_{i=1}^n s_i = \frac{n(n-1)}{2}$.

2. BOUNDS FOR THE GENERAL ZAGREB INDEX OF TOURNAMENTS

For the rest of the paper, we assume the sequence to be in non-increasing order, unless otherwise stated. Let $M_k = \sum_{i=1}^k s_i$ be the sum of largest k scores of tournament T . Then, by using the fact that $\sum_{i=1}^n s_i = \frac{n(n-1)}{2}$, we have

$$\frac{M_k}{k} = \frac{\sum_{i=1}^k s_i}{k} \geq \frac{\sum_{i=k+1}^n s_i}{n-k} = \frac{\frac{n(n-1)}{2} - M_k}{n-k},$$

which after simplification gives

$$M_k \geq \frac{k(n-1)}{2} \tag{2.2}$$

with equality if and only if $k = n$. Now $\frac{k(n-1)}{2} > \frac{k(k-1)}{2}$ implies that $n > k$. So, for $k < n$, Inequality (2.2) is better than Inequality (1.1) and both the inequalities agree on $k = n$.

The following result gives the upper bound for M_k .

Lemma 2.1. *If T be a tournament with n vertices, then*

$$M_k \leq \frac{nk - k + \sqrt{(nk - k)^2 - 4D}}{2}, \tag{2.3}$$

where $D = k \left(\frac{n(n-1)}{2} \right)^2 - (n-k)k \frac{n(n-1)s_n}{2}$. Equality holds if and only if $T \cong nK_1$.

Proof. Using Cauchy-Schwartz's inequality, Inequality (1.1) and noting that $\sum_{i=1}^n s_i^2 \leq \frac{n(n-1)s_n}{2}$ [7], we have

$$\left(\frac{n(n-1)}{2} - M_k \right)^2 = \left(\sum_{i=k+1}^n s_i \right)^2 \leq (n-k) \left(\sum_{i=k+1}^n s_i^2 \right) = (n-k) \left(\sum_{i=1}^n s_i^2 - \sum_{i=1}^k s_i^2 \right)$$

$$\begin{aligned} &\leq (n - k) \left(\frac{n(n - 1)s_n}{2} - \sum_{i=1}^k s_i^2 \right) \\ &\leq (n - k) \left(\frac{n(n - 1)s_n}{2} - \frac{M_k^2}{k} \right). \end{aligned}$$

After making some simplifications, we obtain

$$\frac{n}{k}M_k^2 - n(n - 1)M_k + \left(\frac{n(n - 1)}{2} \right)^2 - (n - k)\frac{n(n - 1)s_n}{2} \leq 0.$$

Hence, it follows that

$$M_k \leq \frac{nk - k + \sqrt{(nk - k)^2 - 4D}}{2},$$

where $D = k \left(\frac{n(n - 1)}{2} \right)^2 - (n - k)k\frac{n(n - 1)s_n}{2}$. Assume that the equality holds in (2.3).

Then all the above inequalities must be equalities. So $s_1 = s_2 = \dots = s_k = s_{k+1} = s_{k+2} = \dots = s_n$ and T is a regular tournament. This is only possible for $T \cong nK_1$. \square

Jensen’s inequality. Let f be a convex function on an interval \mathcal{I} and let x_1, x_2, \dots, x_n be points of \mathcal{I} and let a_1, a_2, \dots, a_n be real numbers satisfying $\sum_{k=1}^n a_k = 1$. Then

$$f \left(\sum_{k=1}^n a_k x_k \right) \leq \sum_{k=1}^n a_k f(x_k)$$

with equality if and only if $x_1 = x_2 = \dots = x_n$.

Theorem 2.2. Let $[s_1, s_2, \dots, s_n]$ be the score sequence of a tournament T . Then the following hold.

(i)

$$\sum_{i=1}^n s_i^a \geq n \left(\frac{(n - 1)}{2} \right)^a,$$

with equality if and only if $T \cong nK_1$.

(ii)

$$\sum_{i=1}^n s_i^a \geq n \left(\prod_{i=1}^n s_i^a \right)^{\frac{1}{n}},$$

with equality if and only if $T \cong K_n$.

Proof. (i). Since $f(x) = x^p$ is strictly convex for $x > 0$, so by *Jensen’s inequality*, we have

$$\sum_{i=1}^n s_i^a \geq n \left(\sum_{i=1}^n \frac{s_i}{n} \right)^a = n \left(\frac{n - 1}{2} \right)^a,$$

with equality if and only if $s_1 = s_2 = \dots = s_n$.

(ii). Consider the function $f(x) = \log(x)$, which is concave in $(1, \infty)$. Thus, by *Jensen’s inequality*, we have

$$\log \left(\sum_{i=1}^n \frac{s_i^a}{n} \right) \geq \frac{1}{n} \sum_{i=1}^n \log s_i^a \geq \frac{1}{n} \log \prod_{i=1}^n s_i^a \geq \log \left(\prod_{i=1}^n s_i^a \right)^{\frac{1}{n}},$$

which is equivalent to

$$\sum_{i=1}^n s_i^a \geq n \left(\prod_{i=1}^n s_i^a \right)^{\frac{1}{n}} = n \left(s_1 s_2 \dots s_{n-1} s_n \right)^{\frac{a}{n}},$$

and equality holds if and only if $s_1 = s_2 = \dots = s_n$. □

Proof. If $q > p > 0$, and x_1, x_2, \dots, x_n are non negative real numbers, then

$$\left(\frac{x_1^p + x_2^p + \dots + x_n^p}{n} \right)^{\frac{1}{p}} \leq \left(\frac{x_1^q + x_2^q + \dots + x_n^q}{n} \right)^{\frac{1}{q}},$$

with equality if and only if $x_1 = x_2 = \dots = x_n$.

Theorem 2.3. Let $[s_1, s_2, \dots, s_n]$ be the score sequence of a tournament T . Then

$$\sum_{i=1}^n s_i^a \geq k \left(\frac{k(n-1)}{2k} \right)^a + (n-k) \left(\frac{n-1}{2} \right)^a,$$

with equality if and only if $T \cong nK_1$.

Proof. By power mean inequality, we have

$$\left(\frac{\sum_{i=1}^k s_i^a}{k} \right)^{\frac{1}{a}} \geq \frac{M_k}{k},$$

that is,

$$\sum_{i=1}^k s_i^a \geq \frac{M_k^a}{k^{a-1}},$$

with equality if and only if $s_1 = s_2 = \dots = s_k$.

Similarly,

$$\sum_{i=k+1}^n s_i^a \geq \frac{\left(\frac{n(n-1)}{2} - M_k \right)^a}{(n-k)^{a-1}},$$

equality holds if and only $s_{k+1} = s_{k+2} = \dots = s_n$.

Thus,

$$\sum_{i=1}^n s_i^a = \sum_{i=1}^k s_i^a + \sum_{i=k+1}^n s_i^a \geq \frac{M_k^a}{k^{a-1}} + \frac{\left(\frac{n(n-1)}{2} - M_k \right)^a}{(n-k)^{a-1}}.$$

Let $x = M_k$ and consider the function

$$f(x) = \frac{x^a}{k^{a-1}} + \frac{\left(\frac{n(n-1)}{2} - x \right)^a}{(n-k)^{a-1}}.$$

Now, solving $f'(x) \geq 0$, we see that $f(x)$ is increasing for $x \geq \frac{k(n-1)}{2}$ and by Inequality (2.2), $M_k \geq \frac{k(n-1)}{2}$. Therefore, we get

$$\sum_{i=1}^n s_i^a = f(M_k) \geq f\left(\frac{k(n-1)}{2}\right) = k\left(\frac{k(n-1)}{2k}\right)^a + (n-k)\left(\frac{n-1}{2}\right)^a.$$

Assume equality occurs, then all the above inequalities are equalities, so that $s_1 = s_2 = \dots = s_k = s_{k+1} = \dots = s_n$ and $M_k = \frac{k(n-1)}{2}$, which is true for $T \cong K_1$. \square

Diaz-Metcalf inequality [1]. Let a_1, a_2, \dots, a_n and b_1, b_2, \dots, b_n be non negative real numbers satisfying $ra_i \leq b_i \leq Ra_i$, for $1 \leq i \leq n$. Then,

$$\sum_{i=1}^n b_i^2 + rR \sum_{i=1}^n a_i^2 \leq (r+R) \sum_{i=1}^n a_i b_i,$$

equality holds if and only if $b_i = Ra_i$ or $b_i = ra_i$ for $1 \leq i \leq n$.

Theorem 2.4. Let $[s_1, s_2, \dots, s_n]$ be the score sequence of a tournament T . Then

$$ns_n^a \leq \sum_{i=1}^n s_i^a \leq ns_1^a,$$

with equality holds if and only if T is a regular tournament.

Proof. Choosing $b_i = s_i^{\frac{a}{2}}$, $a_i = 1$, $r = s_n^{\frac{a}{2}}$ and $R = s_1^{\frac{a}{2}}$, then we have

$$s_n^{\frac{a}{2}} \leq s_i^{\frac{a}{2}} \leq s_1^{\frac{a}{2}}.$$

By Diaz-Metcalf Inequality, we have

$$\begin{aligned} \sum_{i=1}^n s_i^a + (s_1 s_n)^{\frac{a}{2}} \sum_{i=1}^n 1 &\leq (s_n^{\frac{a}{2}} + s_1^{\frac{a}{2}}) \sum_{i=1}^n s_i^{\frac{a}{2}} \\ &\leq (s_n^{\frac{a}{2}} + s_1^{\frac{a}{2}}) \sum_{i=1}^n s_1^{\frac{a}{2}}, \end{aligned}$$

which implies that

$$\sum_{i=1}^n s_i^a + (s_1 s_n)^{\frac{a}{2}} n \leq (s_n^{\frac{a}{2}} + s_1^{\frac{a}{2}}) n s_1^{\frac{a}{2}},$$

which further implies that

$$\sum_{i=1}^n s_i^a \leq n s_1^a,$$

with equality if and only if $s_1 = s_2 = \dots = s_n$.

Similarly, choosing $b_i = s_i^a$, $a_i = 1$, $r = s_n^a$ and $R = s_1^a$ in Diaz-Metcalf inequality, we have

$$\sum_{i=1}^n s_i^{2a} + (s_1 s_n)^a n \leq (s_n^a + s_1^a) \sum_{i=1}^n s_i^a,$$

which implies that

$$\begin{aligned} \sum_{i=1}^n s_i^a &\geq \frac{1}{s_n^a + s_1^a} \left(\sum_{i=1}^n s_i^{2a} + n(s_1 s_n)^a \right) \\ &\geq \frac{1}{s_n^a + s_1^a} (n s_n^{2a} + n(s_1 s_n)^a) = n s_n^a. \end{aligned}$$

Equality holds if and only if T is a regular tournament. \square

Ozeki's Inequality [8]. Let a_1, a_2, \dots, a_n and b_1, b_2, \dots, b_n be non negative real numbers. Then

$$\sum_{i=1}^n a_i^2 \sum_{i=1}^n b_i^2 - \left(\sum_{i=1}^n a_i b_i \right)^2 \leq \frac{n^2}{4} (M_1 M_2 - m_1 m_2)^2,$$

where $M_1 = \max a_i$, $M_2 = \max b_i$, $m_1 = \min a_i$ and $m_2 = \min b_i$, $i = 1, 2, \dots, n$.

Theorem 2.5. Let $[s_1, s_2, \dots, s_n]$ be the score sequence of a tournament T with $s_i \geq 1$ for all i . Then

$$\sqrt{n^2 s_n^{2a} - \frac{n^2}{4} (s_1^a - s_n^a)^2} \leq \sum_{i=1}^n s_i^a \leq \frac{n^2}{4} (s_1^{\frac{a}{2}} - s_n^{\frac{a}{2}})^2 + n^2 s_1^a,$$

with equality if and only if T is a regular tournament.

Proof. Choosing $a_i = s_i^{\frac{a}{2}}$, $b_i = 1$, $M_1 = s_1^{\frac{a}{2}}$, $m_1 = s_n^{\frac{a}{2}}$ and $M_2 = m_2 = 1$ in Ozeki's inequality, we have

$$n \sum_{i=1}^n s_i^a - \left(\sum_{i=1}^n s_i^{\frac{a}{2}} \right)^2 \leq \frac{n^2}{4} (s_1^{\frac{a}{2}} - s_n^{\frac{a}{2}})^2,$$

which implies that

$$\begin{aligned} n \sum_{i=1}^n s_i^a &\leq \frac{n^2}{4} (s_1^{\frac{a}{2}} - s_n^{\frac{a}{2}})^2 + \left(\sum_{i=1}^n s_i^{\frac{a}{2}} \right)^2, \\ &\leq \frac{n^2}{4} (s_1^{\frac{a}{2}} - s_n^{\frac{a}{2}})^2 + \left(\sum_{i=1}^n s_1^{\frac{a}{2}} \right)^2 \\ &= \frac{n^2}{4} (s_1^{\frac{a}{2}} - s_n^{\frac{a}{2}})^2 + n^2 s_1^a. \end{aligned}$$

Thus,

$$\sum_{i=1}^n s_i^a \leq \frac{n}{4} (s_1^{\frac{a}{2}} - s_n^{\frac{a}{2}})^2 + n s_1^a.$$

Equality occurs if and only if T is a regular tournament.

Similarly, choosing $a_i = s_i^a$, $b_i = 1$, $M_1 = s_1^a$, $m_1 = s_n^a$ and $m_2 = M_2 = 1$ in Ozeki's inequality, we have

$$n \sum_{i=1}^n s_i^{2a} \leq \frac{n^2}{4} (s_1^a - s_n^a)^2 + \left(\sum_{i=1}^n s_i^a \right)^2,$$

which implies that

$$\left(\sum_{i=1}^n s_i^a\right)^2 \geq n \sum_{i=1}^n s_n^{2a} - \frac{n^2}{4}(s_1^a - s_n^a)^2.$$

Finally, we get

$$\sum_{i=1}^n s_i^a \geq \left(n^2 s_n^{2a} - \frac{n^2}{4}(s_1^a - s_n^a)^2\right)^{\frac{1}{2}},$$

with equality if and only if T is a regular tournament. □

Pólya-Szegő inequality [10]. Let a_1, a_2, \dots, a_n and b_1, b_2, \dots, b_n be non negative real numbers. Then

$$\sum_{i=1}^n a_i^2 \sum_{i=1}^n b_i^2 \leq \frac{1}{4} \left(\sqrt{\frac{M_1 M_2}{m_1 m_2}} + \sqrt{\frac{m_1 m_2}{M_1 M_2}}\right)^2 \left(\sum_{i=1}^n a_i b_i\right)^2,$$

where $M_1 = \max a_i$, $M_2 = \max b_i$, $m_1 = \min a_i$ and $m_2 = \min b_i$, $i = 1, 2, \dots, n$.

Theorem 2.6. Let $[s_1, s_2, \dots, s_n]$ be the score sequence of a tournament T , with $s_i \geq 1$ for all i . Then

$$\frac{ns_n^a}{\frac{1}{2}\sqrt{\frac{s_1}{s_n}} + \sqrt{\frac{s_n}{s_1}}} \leq \sum_{i=1}^n s_i^a \leq \frac{ns_1^{\frac{a}{2}}}{4} \left(\sqrt{\frac{s_1}{s_n}} + \sqrt{\frac{s_n}{s_1}}\right)^2.$$

Proof. Let $a_i = s_i^{\frac{a}{2}}$, $b_i = 1$, so that $M_1 = s_1$, $m_n = s_n$, $M_2 = m_2 = 1$. Now, from Pólya-Szegő inequality, we have

$$\begin{aligned} n \sum_{i=1}^n s_i^a &\leq \frac{1}{4} \left(\sqrt{\frac{s_1}{s_n}} + \sqrt{\frac{s_n}{s_1}}\right)^2 \left(\sum_{i=1}^n s_i^{\frac{a}{2}}\right)^2 \\ &\leq \frac{1}{4} \left(\sqrt{\frac{s_1}{s_n}} + \sqrt{\frac{s_n}{s_1}}\right)^2 s_1^{\frac{a}{2}} n^2. \end{aligned}$$

Thus,

$$\sum_{i=1}^n s_i^a \leq \frac{1}{4} \left(\sqrt{\frac{s_1}{s_n}} + \sqrt{\frac{s_n}{s_1}}\right)^2 s_1^{\frac{a}{2}} n.$$

Suppose equality holds. Then $s_1 = s_i = s_n$, which is possible for regular tournaments. Conversely, if T is a regular tournament, then equality holds.

Again, choosing $a_i = s_i^a$, $b_i = 1$ in Pólya-Szegő inequality, we obtain

$$n \sum_{i=1}^n s_i^{2a} \leq \frac{1}{4} \left(\sqrt{\frac{s_1}{s_n}} + \sqrt{\frac{s_n}{s_1}}\right)^2 \left(\sum_{i=1}^n s_i^a\right)^2,$$

Therefore,

$$\left(\sum_{i=1}^n s_i^a\right)^2 \geq \frac{n \sum_{i=1}^n s_i^{2a}}{\frac{1}{4} \left(\sqrt{\frac{s_1}{s_n}} + \sqrt{\frac{s_n}{s_1}}\right)^2} \geq \frac{n \sum_{i=1}^n s_n^{2a}}{\frac{1}{4} \left(\sqrt{\frac{s_1}{s_n}} + \sqrt{\frac{s_n}{s_1}}\right)^2} = \frac{n^2 s_n^{2a}}{\frac{1}{4} \left(\sqrt{\frac{s_1}{s_n}} + \sqrt{\frac{s_n}{s_1}}\right)^2},$$

and hence

$$\sum_{i=1}^n s_i^a \geq \frac{ns_n^a}{\frac{1}{2} \left(\sqrt{\frac{s_1}{s_n}} + \sqrt{\frac{s_n}{s_1}} \right)}.$$

It can be easily verified that equality occurs if and only if T is a regular tournament. \square

Example Consider the transitive tournament of order 5 with score sequence $[4, 3, 2, 1, 0]$. Let $a = 2$. By simple calculations, we have $\sum_{i=1}^n s_i^a = 30$ and $n \left(\frac{n-1}{2} \right)^a = 20$ so that Theorem 2.2 is true. The bounds in Theorems 2.3, 2.4, 2.5 and 2.6 can be similarly verified.

Conclusions. As the first Zagreb index and the first generalized Zagreb index have been extensively studied for graphs, the investigation of the later in tournaments has been initiated in this paper. We obtained some upper and lower bounds for first generalized Zagreb index of tournaments mostly in terms of the order of the tournament. These bounds can be improved in future using more invariants of a tournament, for example, the number of arcs.

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